## FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of the Insurer: Universal Sompo General Insurance Company Limited Registration Number: 134

Statement as on: September 30, 2024 Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

| CENTRAL GOVERNMENT SECURITIES<br>STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARATEED<br>SEC.<br>BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS<br>ACCREDITED BY NHB<br>Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted<br>Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds | CGSB<br>CTRB<br>CDSS<br>SGOA<br>SGGB<br>HTDN<br>HTHD<br>HTLN  | Investment (₹) <sup>1</sup><br>1,19,940<br>2,997<br>-<br>2,650<br>44,942<br>46,539   | Income on<br>Investment<br>(₹)<br>2,021<br>11<br>-<br>45   | Gross Yield<br>(%) <sup>1</sup><br>6.69<br>5.67   | (%)²   | Investment<br>(₹)¹   | Income on<br>Investment (₹)   | Gross Yield<br>(%) <sup>1</sup>   | Net Yield  | Investment  | Income on  | Gross Yield   | Not Viold   |
|--|---|--|--|---|--|--|---|---|--|---|--|---|---|
| STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARATEED<br>SEC.<br>BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS<br>ACCREDITED BY NHB<br>Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted   | CTRB<br>CDSS<br>SGOA<br>SGGB<br>HTDN<br>HTHD  | 2,997<br>-<br>2,650<br>44,942  | - 11   |   |  |  |   | (%)-  | (%)²   | (₹)1  | Investment (₹)   | (%)¹  | (%) <sup>2</sup>  |
| STATE GOVERNMENT/OTHER APPROVED SEC./ OTHER GUARATEED<br>SEC.<br>BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS<br>ACCREDITED BY NHB<br>Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted   | CDSS<br>SGOA<br>SGGB<br>HTDN<br>HTHD  | -<br>2,650<br>44,942   | -  | 5 67  | 5.00   | 1,19,287   | 3,990   | 6.67  | 4.99   | 1,15,753  | 3,758  | 6.47  | 4.85  |
| SEC. BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS ACCREDITED BY NHB Bonds / Debentures issued by HUDCO Commercial Papers - NHB / Institutions accredited by NHB INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS Infrastructure - PSU - Equity shares - Quoted Infrastructure - Corporate Securities - Equity shares-Quoted   | SGOA<br>SGGB<br>HTDN<br>HTHD  | 44,942   |  |   | 4.24   | 3,643  | 30  | 6.45  | 4.83   | 3,886   | 10   | 5.34  | 3.99  |
| BONDS / DEBENTURES ISSUED BY NHB / INSTITUTIONS<br>ACCREDITED BY NHB<br>Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted  | SGGB<br>HTDN<br>HTHD  | 44,942   |  | -   | -  | -  | - 89  | -   | -  | -   | -  | -   | - 4.91  |
| ACCREDITED BY NHB<br>Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted   | HTDN<br>HTHD  |  | 785  | 6.69<br>6.93  | 5.01<br>5.18   | 2,653<br>44,584  | 1,555   | 6.77<br>6.96  | 5.06<br>5.21   | 3,131<br>40,666   | 103  | 6.56<br>7.09  | 5.30  |
| Bonds / Debentures issued by HUDCO<br>Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted  |   | 40,539   | 854  | 7.28  | 5.45   | 45,128   | 1,644   | 7.27  | 5.44   | 35,491  | 1,203  | 6.76  | 5.06  |
| Commercial Papers - NHB / Institutions accredited by NHB<br>INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS<br>Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted  | HTLN  | 10,482   | 181  | 6.87  | 5.14   | 10,495   | 361   | 6.86  | 5.13   | 13,764  | 475  | 6.89  | 5.15  |
| Infrastructure - PSU - Equity shares - Quoted<br>Infrastructure - Corporate Securities - Equity shares-Quoted  |   | -  | -  | -   | -  | -  | -   | -   | -  | 4,059   | 51   | 7.75  | 5.80  |
| Infrastructure - Corporate Securities - Equity shares-Quoted   |   | -  | -  | -   | -  | -  | -   | -   | -  | -   | -  | -   | -   |
|  | ITPE  | -  | -  | -   | -  | -  | -   | -   | -  | 110   | 18   | 32.85   | 24.58   |
| Taxable - Infrastructure / Social Sector - PSU - Debentures / Bonds  | ITCE  | 1,283  | 1  | 0.38  | 0.29   | 1,244  | 22  | 3.55  | 2.65   | -   | -  | -   | -   |
|  | IPTD  | 66,803   | 1,226  | 7.28  | 5.45   | 65,742   | 2,362   | 7.16  | 5.36   | 63,873  | 2,232  | 6.97  | 5.22  |
| Infrastructure - Infrastructure Development Fund (IDF)   | IDDF  | 12,805   | 253  | 7.83  | 5.86   | 12,174   | 478   | 7.84  | 5.87   | 9,927   | 394  | 7.92  | 5.92  |
| Taxable - Infrastructure / Social Sector - Other Corporate Securities<br>(Approved Investments) - Debentures/Bonds<br>Infrastructure - PSU - CPs   | ICTD<br>IPCP  | 2,518  | 47   | 7.47  | 5.59   | 2,519  | 94  | 7.47  | 5.59   | 2,523   | 75   | 7.50  | 5.61  |
| Infrastructure - Other Corporate Securities - CPs  | ICCP  | -  |  | -   | -  | -  |   | -   | -  | 2,499   | 3  | 7.03  | 5.26  |
| Infrastructure - PSU - Debentures / Bonds - Tax Free Bond  | IPFD  | -  | -  | -   | -  | -  | -   | -   | -  | -   | -  | -   | -   |
| Long Term Bank Bonds Approved Investment-Infrastructure<br>APPROVED INVESTMENT SUBJECT TO EXPOSURE NORMS   | ILBI  | 10,326   | 202  | 7.76  | 5.81   | 10,322   | 402   | 7.76  | 5.81   | 4,570   | 174  | 7.57  | 5.67  |
| PSU (Approved Investment) - Equity Shares - Quoted   | EAEQ  | 1,231  | 5  | 1.75  | 1.31   | 946  | 90  | 18.96   | 14.19  | 837   | 98   | 23.45   | 17.55   |
| Corporate Securities - Equity Shares (Ordinary) - Quoted   | EACE  | 15,989   | 635  | 15.77   | 11.80  | 15,509   | 1,468   | 18.88   | 14.13  | 8,124   | 400  | 9.81  | 7.34  |
| Corporate Securities - Bond Taxable  | EPBT  | 1,000  | 14   |   | 4.18   | 1,246  | 35  | 5.64  | 4.22   | 3,500   | 101  | 5.77  | 4.32  |
| Corporate Securites - (Approved Investment) - Debentures   | ECOS  | 1,03,891   | 2,032  | 7.76  | 5.81   | 1,06,856   | 4,184   | 7.81  | 5.84   | 89,504  | 3,374  | 7.52  | 5.63  |
| Commercial Papers - Approved Investment  | ECCP<br>EDCD  | 3,927<br>4,995   | 5<br>46  | 6.82<br>7.00  | 5.10<br>5.24   | 4,317<br>3,742   | 9<br>71   | 6.90<br>7.18  | 5.16<br>5.37   | 2,498<br>6,900  | 7  | 7.38<br>7.16  | 5.52<br>5.35  |
| Deposits - CDs with Scheduled Banks<br>Deposits - Deposit with Scheduled Banks   | ECDB  | 4,693  | 64   | 5.40  | 4.04   | 3,437  | 95  | 5.53  | 4.14   | 3,435   | 47   | 5.69  | 4.26  |
| Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [PSU<br>Banks]   | EAPS  | 1,500  | 31   | 8.32  | 6.22   | 1,500  | 63  | 8.32  | 6.22   | -   | -  | -   | -   |
| Mutual Funds - Gilt / G Sec / Liquid Schemes   | EGMF  | -  | -  | -   | -  | -  | -   | -   | -  | -   | -  | -   | -   |
| Additional Tier 1 (Basel III Compliant) Perpetual Bonds - [Private<br>Banks]   | EAPB  | -  | -  | -   | -  | -  | -   | -   | -  | -   | -  | -   | -   |
| CCIL – CBLO/TREPS  | ECBO  | 6,549  | 101  | 6.40  | 4.79   | 5,987  | 187   | 6.38  | 4.78   | 6,341   | 201  | 6.77  | 5.07  |
| Passively Managed Equity ETF (Non Promoter Group) OTHER INVESTMENTS  | EETF  | -  | -  | -   | -  | -  | -   | -   | -  | -   | -  | -   | 13.33   |
|  |   |  |  |   |  |  |   |   |  |   |  |   | 25.14   |
|  |   |  |  |   |  |  |   |   |  |   |  |   | -   |
|  |   |  |  | -   |  | -  |   |   | -  |   |  |   | -   |
|  |   | -  | -  | -   | -  | -  | -   | -   | -  | 4,770   | 10   | 6.72  | 5.03  |
| Infrastructure - Equity (including unlisted)   | IOEQ  | 418  | (9)  | (13.55)   | (13.55)  | 418  | (9)   | (13.55)   | (13.55)  | 48  | -  | -   | -   |
| Equity Shares in Housing Finance Companies   | HOEQ  | 752  | 115  | 60.58   | 45.33  | 610  | 115   | 49.07   | 36.72  | -   | -  | -   | -   |
| Equity Shares (PSUs & Unlisted)  | OEPU  | -  | -  | -   | -  | -  | -   | -   | -  | 155   | 20   | 30.21   | 22.61   |
| Passively Managed Equity ETF Non Promoter Group)   | OETF  | 104  | 12   | 46.11   | 34.50  | 317  | 92  | 58.07   | 43.45  | 155   | 3  | 3.61  | 2.70  |
|  |   | -  | -  | - 0.70  | -  | -  | -   | -   | -  | -   | -  | -   | - 6.30  |
|  | UAFB  | -/   |  |   |  |  |   |   |  |   |  |   | 5.30<br>5.35  |
| TOTAL  | amended fro   | om time to time  |  |   |  |  | - ,   |   |  |   | ,  |   |   |
|  | CIL – CBLO/TREPS<br>assively Managed Equity ETF (Non Promoter Group)<br>THER INVESTMENTS<br>ITHER INVESTMENTS<br>Utual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)<br>ebentures<br>onds - PSU - Taxable<br>nfrastructure - Debentures / Bonds / CPs / Ioans<br>nfrastructure - Debentures / Bonds / CPs / Ioans<br>nfrastructure - Debentures / Bonds / CPs / Ioans<br>nfrastructure - Equity (including unlisted)<br>quity Shares in Housing Finance Companies<br>quity Shares (PSU & Unlisted)<br>assively Managed Equity ETF Non Promoter Group)<br>ommercial Papers<br>Iternative Investment Fund<br><i>TOTAL</i><br>Category of Investment (COI) shall be as per Guidelines, as<br>ased on daily simple Average of Investments | anksj       ECBO         CIL – CBLO/TREPS       ECBO         assively Managed Equity ETF (Non Promoter Group)       EETF         THER INVESTMENTS       EETF         tither Investments - Equity Shares (incl. Co - op Societies)       OESH         lutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)       OMGS         ebentures       OLDB         onds - PSU - Taxable       OBPT         firastructure - Debentures / Bonds / CPs / Ioans       IODS         nfrastructure - Equity (including unlisted)       IOEQ         quity Shares in Housing Finance Companies       HOEQ         quity Shares (PSUs & Unlisted)       OEFH         ommercial Papers       OACP         Iternative Investment Fund       OAFB         TOTAL       Category of Investment Fund         Category of Investment (CO1) shall be as per Guidelines, as amended freased on daily simple Average of Investments         ield netted for Tax       Investments | anksj       ECBO       6,549         CIL – CBL/TREPS       ECBO       6,549         assively Managed Equity ETF (Non Promoter Group)       EETF       397         THER INVESTMENTS       -       -         ther Investments - Equity Shares (incl. Co - op Societies)       OESH       111,077         lutual Funds - Debt/Income/Serial Plans/Liquid Schemes (Others)       OMGS       -         onds - PSU - Taxable       OLDB       -         frastructure - Debentures / Bonds / CPs / Ioans       IODS       -         nfrastructure - Equity (including unlisted)       IOEQ       418         quity Shares in Housing Finance Companies       HOEQ       752         quity Shares (PSUs & Unlisted)       OEFU       -         assively Managed Equity ETF Non Promoter Group)       OETF       104         ommercial Papers       OACP       -         tranative Investment Fund       OAFB       2,519         TOTAL       4,71,608       2,519         Category of Investment (COI) shall be as per Guidelines, as amended from time to time ased on daily simple Average of Investments       4,74,608 | anks1       ECBO       6,549       101         assively Managed Equity ETF (Non Promoter Group)       EETF       397       44         THER INVESTMENTS       -       -       -         ther Investments - Equity Shares (incl. Co - op Societies)       OESH       111,077       1,034         lutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)       OMGS       -       -         onds - PSU - Taxable       OBBT       -       -         frastructure - Debentures / Bonds / CPs / Ioans       IODS       -       -         nfrastructure - Equity (including unlisted)       IOEQ       418       (9)         quity Shares in Housing Finance Companies       HOEQ       752       1115         assively Managed Equity ETF Non Promoter Group)       OETF       104       112         ommercial Papers       OACP       -       -         Iternative Investment Fund       OAFB       2,519       62         TOTAL       4,71,608       9,818       8         Category of Investment (COI) shall be as per Guidelines, as amended from time to time ased on daily simple Average of Investments       ield netted for Tax | anks1         CL         CBL         CBL <td>anks1         ECBO         6,6,549         101         6.40         4.79           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77           THER INVESTMENTS         -         -         -         -         -         -           ther Investments - Equity Shares (incl. Co - op Societies)         OESH         11,077         1,034         37.03         27.71           lutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)         OMGS         -         -         -         -           onds - PSU - Taxable         OLDB         -         -         -         -         -           firastructure - Debentures / Bonds / CPs / Ioans         IODS         -         -         -         -           firastructure - Equity (including unlisted)         IOEQ         418         (9)         (13.55)         (13.55)           quity Shares in Housing Finance Companies         HOEQ         752         1115         60.58         45.33           quity Shares (PSUs &amp; Unlisted)         OEPU         -         -         -         -           assively Managed Equity ETF Non Promoter Group)         OETF         104         12         46.11         34.50           omm</td> <td>anks1         CLB         CBCBO         6,549         101         6.40         4.79         5,987           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839           THER INVESTMENTS         -</td> <td>anks1         CBO         6.49         101         6.40         4.79         5,987         187           CLL ~ CBL/TREPS         ECBO         6,549         101         6.40         4.79         5,987         187           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171           THER INVESTMENTS         -<td>anks1         CL         CBC0         6,549         101         6.40         4.79         5,987         187         6.38           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53           THER INVESTMENTS         -</td><td>anks1         CBO         6,549         101         6.40         4.79         5,987         187         6.38         4.78           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53         30.33           THER INVESTMENTS         -<td>anks1       anks1       <th< td=""><td>anks         i<td>anksj         cells         <th< td=""></th<></td></td></th<></td></td></td> | anks1         ECBO         6,6,549         101         6.40         4.79           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77           THER INVESTMENTS         -         -         -         -         -         -           ther Investments - Equity Shares (incl. Co - op Societies)         OESH         11,077         1,034         37.03         27.71           lutual Funds -Debt/Income/Serial Plans/Liquid Schemes (Others)         OMGS         -         -         -         -           onds - PSU - Taxable         OLDB         -         -         -         -         -           firastructure - Debentures / Bonds / CPs / Ioans         IODS         -         -         -         -           firastructure - Equity (including unlisted)         IOEQ         418         (9)         (13.55)         (13.55)           quity Shares in Housing Finance Companies         HOEQ         752         1115         60.58         45.33           quity Shares (PSUs & Unlisted)         OEPU         -         -         -         -           assively Managed Equity ETF Non Promoter Group)         OETF         104         12         46.11         34.50           omm | anks1         CLB         CBCBO         6,549         101         6.40         4.79         5,987           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839           THER INVESTMENTS         - | anks1         CBO         6.49         101         6.40         4.79         5,987         187           CLL ~ CBL/TREPS         ECBO         6,549         101         6.40         4.79         5,987         187           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171           THER INVESTMENTS         - <td>anks1         CL         CBC0         6,549         101         6.40         4.79         5,987         187         6.38           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53           THER INVESTMENTS         -</td> <td>anks1         CBO         6,549         101         6.40         4.79         5,987         187         6.38         4.78           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53         30.33           THER INVESTMENTS         -<td>anks1       anks1       <th< td=""><td>anks         i<td>anksj         cells         <th< td=""></th<></td></td></th<></td></td> | anks1         CL         CBC0         6,549         101         6.40         4.79         5,987         187         6.38           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53           THER INVESTMENTS         - | anks1         CBO         6,549         101         6.40         4.79         5,987         187         6.38         4.78           assively Managed Equity ETF (Non Promoter Group)         EETF         397         44         43.79         32.77         839         171         40.53         30.33           THER INVESTMENTS         - <td>anks1       anks1       <th< td=""><td>anks         i<td>anksj         cells         <th< td=""></th<></td></td></th<></td> | anks1       anks1 <th< td=""><td>anks         i<td>anksj         cells         <th< td=""></th<></td></td></th<> | anks         i <td>anksj         cells         <th< td=""></th<></td> | anksj         cells         cells <th< td=""></th<> |

(₹ in Lakhs)